

Audit and Assurance Committee



Date: 14 June 2016

Item: Actions List

This paper will be considered in public

1 Summary

- 1.1 This paper informs the Committee of progress against actions agreed at previous meetings.

2 Recommendation

- 2.1 **The Committee is asked to note the Actions List.**

List of appendices to this report:

Appendix 1: Actions List

List of Background Papers:

Minutes of previous meetings of the Committee

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Audit and Assurance Committee Actions List (reported to 14 June 2016 meeting)

Actions from last meeting

Minute No.	Item/Description	Action By	Target Date	Status/note
06/03/16	Internal Audit Quarter 3 Report 2015/16 Ian Nunn to submit a report to the next meeting on the outcome of a business and finance review in relation to work currently being carried out on staffing matters.	Ian Nunn	14 June 2016	Covered under Q4 reports. Completed.
06/03/16	Internal Audit Quarter 3 Report 2015/16 EY to review the audit process of the Garden Bridge and report back to the next meeting.	EY	-	In progress.
07/03/16	Crossrail Audit Committee Ian Nunn to present reports on Crossrail's operational and financial matters at future meetings.	Ian Nunn	14 June 2016 meeting.	On agenda. Completed.
09/03/16	Strategic Risk Management - Update on New Approach and Reporting Documentation An annual report on resilience, disaster planning and business continuity planning to be submitted in future.	Ian Nunn	June 2017 meeting.	On Forward Plan.
11/02/16	Review of TfL's Independent Reporting Lines for Year Ended 31 December 2015 Howard Carter to clarify whether CIRAS membership also now included London Buses.	Howard Carter	14 June 2016 meeting.	CIRAS reports included London Buses from January 2016. Completed.

Minute No.	Item/Description	Action By	Target Date	Status/note
12/03/16	Cyber Security Update A list of scenario events used for exercise days be included as an appendix in future reports.	Steve Townsend	14 June 2016 meeting.	Included in report on agenda. Completed.

Actions from previous meetings

Minute No.	Item/Description	Action By	Target Date	Status/note
72/12/15	Legal Compliance Report (1 April-30 September 2015) That future reports include post code references when referring to street names.	Howard Carter	14 June 2016 meeting.	Included in report on agenda. Completed.
10/03/15	National Fraud Initiative 2014 Update Internal Audit to review, as part of its 2015/16 audit plan, whether non London based taxi and private hire drivers were improperly avoiding paying the congestion charge.	Clive Walker	14 June 2016 meeting.	On Forward Plan.