

**Date:** 18 December 2013

**Item 4:** **Actions List**

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**This paper will be considered in public**

**1 Summary**

1.1 This paper informs the Committee of progress against actions agreed at previous meetings.

**2 Recommendation**

2.1 **The Committee is asked to note the Actions List.**

**List of appendices to this report:**

Appendix 1 – Actions List

**List of Background Papers:**

Minutes of previous meetings of the Committee

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## Audit and Assurance Committee Actions List

### Actions from the Last Meeting

| Minute No. | Item/Description  | Action By       | Target Date      | Status/note           |
|------------|---|-----------------|------------------|-----------------------|
| 54/10/13   | <b>Update: Strategic Risk Management Q1 2013/14</b><br>An annual report on assurance and risk to be submitted to the TfL Board, and this report would be submitted to a future meeting of the Committee.  | David Goldstone | March 2014       | Scheduled.            |
| 55/10/13   | <b>Anti Fraud and Corruption Strategy and Policy</b><br>Progress with implementation of the strategy would be reported regularly to the Committee as part of the Half Yearly Fraud Report.  | Clive Walker    | June 2014        | Scheduled.            |
| 56/10/13   | <b>National Fraud Initiative Update 2012/13</b><br>Officers agreed to provide more detailed information on the number of taxi and private hire cases still being identified as not eligible to work in the UK, despite new controls being introduced. | Simon Bicknell  | 18 December 2014 | On agenda. Completed. |
| 62/10/13   | <b>Fraud Update</b><br>An update on progress with the fraud investigation, and mitigating action being taken would be included in the Half Yearly Fraud Report.   | Alan Day        | 18 December      | On agenda. Completed. |

### Outstanding Actions from Previous Meetings

| Minute No. | Item/Description   | Action By    | Target Date | Status/note |
|------------|--|--------------|-------------|-------------|
| 10/03/13   | <b>Integrated Assurance Plan 2013/14</b><br>That further consideration be given to including some specific work on industrial relations in the 2014/15 plan. | Clive Walker | March 2014  | Scheduled   |